

PATENT

Docket No. IL-11186

**Customer No**

26857

**Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450**

17497 U.S.PTO  
10/676905



## **NEW APPLICATION TRANSMITTAL**

17497

TRANSMITTAL  
Transmitted herewith for filing is the patent application of Inventor(s):  
**Jay W. Dawson, Raymond J. Beach, Stephen A. Payne, Michael D. Feit, Christopher P.J. Barty, Zhi M. Liao**

For (title): **FLATTENED MODE CYLINDRICAL AND RIBBON FIBERS AND  
AMPLIFIERS**

### **1. Type of Application**

- This new application is for an original patent

**2. Benefit of Prior U.S. Application(s) (25 USC)**

- The new application being transmitted claims the benefit of prior U.S. application(s) listed below:**

**Application Serial No.**

**Filing Date**

3. ■ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below: 60/475,550  
Application Serial No. \_\_\_\_\_

— Benefit under 35 U.S.C. 119(e) or  
below: 60/475,550  
Application Serial No. J

June 3, 2003

Filing Date

4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).  
19 Pages of specification

19 Pages of specification

7 Pages of claims

1 Pages of abstract

10 Sheets of drawings

#### **formal**

**5. Additional papers enclosed**

- Preliminary Amendment  
 Information Disclosure Statement  
 Form PTO-1449

**6. Declaration or oath**

- Enclosed and executed by
- All the inventors
- legal representative of inventor(s) 37 CFR 1.42 or 1.43
- Not Enclosed

**7. Assignment**

- An assignment of the invention to The Regents of the University of California.
- Is enclosed
- will follow

**8. Certified Copy**

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- is(are) attached.
- will follow

**9. Fee Calculation**

CLAIMS AS FILED						
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee	
Total Claims	28	-20	= 8	x \$18	= \$ 144.00	
Independent Claims	2	-3	= 0	x \$84	= \$ 0.00	
				Multiple Claims	= \$	
				Basic Filing Fee	= \$	750.00
				Total	= \$	894.00

**10. Small Entity Statement(s)**

- This is a filing by small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of regular filing fee) \$ 447.00

**11. Fee Payment**

- Not Enclosed  
 Enclosed

Total Basic Filing Fees To Be Paid \$ 447.00

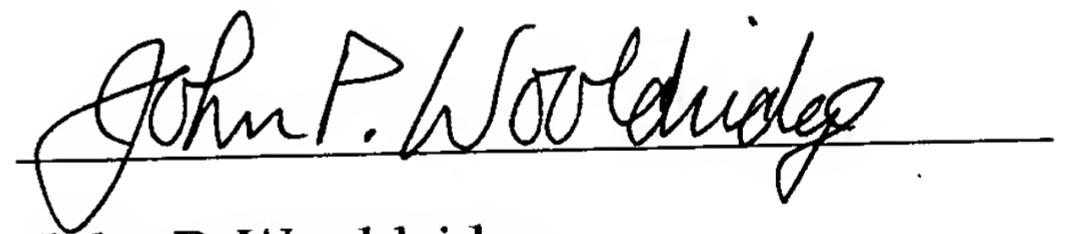
**12. Method of Payment of Fees**

- Check in the Amount of .00  
 Authorization is hereby \$447.00  
given to deduct the total  
fee from Deposit Account  
501913

A duplicate of this transmittal is attached.

**13. Instructions As To Overpayment/Underpayment**

- credit/charge  
Account No. 501913  
 refund



John P. Wooldridge  
ATTORNEY  
Reg. No.: 38,725

Tel No.: (808) 270-1011  
535 Lipoa Parkway, Suite 110  
Kihei, HI 96753

Dated: September 29, 2003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jay W. Dawson et al.

Attorney Docket No. : IL-11186

Serial No. :

Art Unit:

Filed :

Examiner:

For : Flattened Mode Cylindrical And  
Ribbon Fibers And Amplifiers

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV123472522US

Date of Deposit September 30, 2003

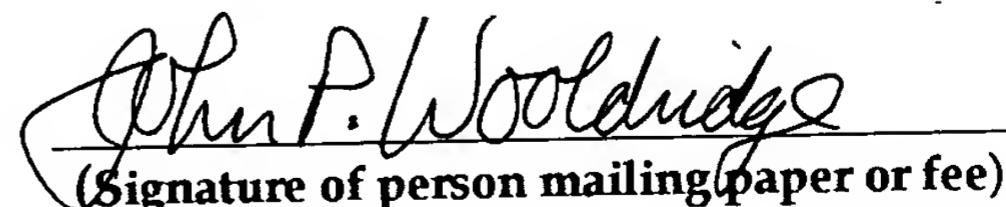
I hereby certify that the following *attached*

1. New Application Transmittal (3 pages) (in duplicate);
2. Recordation Cover Sheet (in duplicate);
3. Assignment (1 page);
4. Combined Declaration and Power of Attorney (3 pages);
5. Application:  
(Specification 19 pages, Claims 7 pages, Abstract 1 page)  
Ten (10) sheets of formal drawings;
6. Express Mail Certificate; and
7. Return postcard,

are being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:  
**Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**

John P. Wooldridge

(Type or print name of person mailing paper)

  
(Signature of person mailing paper or fee)